



THE UNITED STATES PATENT AND TRADEMARK OFFICE  
TRANSMITTAL FORM

In re Application of  
BAVARI *et al.*  
Serial No.: 09/465,276  
Filed: December 16, 1999  
For: Antibodies Against Type A Botulinum Neurotoxin

Examiner: Zeman, R.  
Group Art Unit: 1645  
Attny Docket: 003/175/SAP

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Date: March 21, 2001

Honorable Commissioner of  
Patents and Trademarks  
Washington, D. C. 20231

Sir:

Transmitted for filing herewith are the following items:

- [x] Response to Notice to File Missing Part of Application  
    [ x ] Computer readable form of Sequence Listing  
        Surcharge required [ ] yes [ x ] no amount \$ -0-  
    [ x ] 3 pages of Paper readable form of Sequence Listing

- [ ] Petition is hereby made to extend the original due date of \_\_\_\_\_  
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attached.

U.S. A. MPMC  
Staff Judge Advocate Office  
504 Scott Street  
Fort Detrick, MD 21702-5012  
ATTN: MCMR -JA (Elizabeth Arwine-Patent Atty)

Respectfully submitted,

By Elizabeth Arwine, Reg. No. 39,441  
for Elizabeth Arwine  
Reg. No. 45,867

I hereby certify that this correspondence is being deposited with the United States Postal Service with  
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Respectfully submitted,

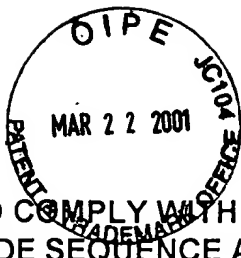
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Application No.: 09/465,276

**NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING  
NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES**

The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 C.F.R. 1.821 - 1.825 for the following reason(s)

- ☒ 1. This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to these regulations, published at 1114 OG 29, May 15, 1990 and at 55 FR 18230, May 1, 1990.
- ☒ 2. This application does not contain, as a separate part of the disclosure on paper copy, a "Sequence Listing" as required by 37 C.F.R. 1.821(c).
- ☒ 3. A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e).
- ☐ 4. A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing."
- ☐ 5. The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A Substitute computer readable form must be submitted as required by 37 C.F.R. 1.825(d).
- ☐ 6. The paper copy of the "Sequence Listing" is not the same as the computer readable form of the "Sequence Listing" as required by 37 C.F.R. 1.821(e).
- ☐ 7. Other: \_\_\_\_\_

**Applicant Must Provide:**

- ☒ An initial or substitute computer readable form (CRF) copy of the "Sequence Listing".
- ☒ An initial or substitute paper copy of the "Sequence Listing", as well as an amendment directing its entry into the specification.
- ☒ A statement that the content of the paper and computer readable copies are the same and, where applicable, include no new matter, as required by 37 C.F.R. 1.821(e) or 1.821(f) or 1.821(g) or 1.825(b) or 1.825(d).

For questions regarding compliance to these requirements, please contact:

For Rules Interpretation, call (703) 308-4216

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For PatentIn software help, call (703) 308-6856

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